

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10693815
	Filing Date		2003-10-24
	First Named Inventor	GENE P. DIPOTO	
	Art Unit	3733	
	Examiner Name	ANURADHA RAMANA	
	Attorney Docket Number	1291.1143101	

U.S. PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
AR	1	6332882		2001-12-25	Zucherman et al.	
↑	2	6358266		2002-03-19	Bonutti	
	3	6419676		2002-07-16	Zucherman et al.	
	4	6428473		2002-08-06	Leonard et al.	
	5	6440169		2002-08-27	Elberg et al.	
	6	6451019		2002-09-17	Zucherman et al.	
	7	6461359		2002-10-08	Tribus et al.	
AR	8	6468206		2002-10-22	Hipps et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

AK	9	6482153		2002-11-19	Hipps et al.	
	10	6520979		2003-02-18	Loubens et al.	
	11	6530929		2003-03-11	Justis et al.	
	12	6554768		2003-04-29	Leonard	
	13	6554954		2003-04-29	Ma et al.	
	14	6575977		2003-06-10	Michelson	
	15	6582433		2003-06-24	Yun	
	16	6591049		2003-07-08	Williams et al.	
	17	6630065		2003-10-07	Takahashi et al.	
	18	6676706		2004-01-13	Mears et al.	
AK	19	6743257		2004-06-01	Castro	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

AR	20	6761719		2004-07-13	Justis et al.	
↑	21	6793656		2004-09-21	Mathews	
	22	6837889		2005-01-04	Shluzas	
	23	7004947		2006-02-28	Shluzas et al.	
	24	7029475		2006-04-18	Panjabi	
	25	7066937		2006-06-27	Shluzas	
AR	26	7226451		2007-06-05	Shluzas et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S. PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
AR	1	20020095154		2002-07-18	Atkinson et al.	
AR	2	20030095781		2003-05-22	Williams	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

ARC	3	20030216740		2003-11-20	Michelson	
	4	20030220547		2003-11-27	Holland et al.	
	5	20040106995		2004-06-03	Le Couedic et al.	
	6	20040143169		2004-07-22	Branch et al.	
	7	20050085815		2005-04-21	Harms et al.	
	8	20050102028		2005-05-12	Amin et al.	
	9	20060015181		2006-01-19	Elberg	
	10	20060241614		2006-10-26	Bruneau et al.	
	11	20060247640		2006-11-02	Blackwell et al.	
	12	20060293662		2006-12-28	Boyer, II et al.	
ARC	13	20070005064		2007-01-04	Anderson et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

AL	14	20070233064		2007-10-04	Holt	
----	----	-------------	--	------------	------	--

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² ;	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button.

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button.

EXAMINER SIGNATURE

Examiner Signature	Anuradha Ramana	Date Considered	1/7/08
--------------------	-----------------	-----------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

U.S. PATENTS**Remove**

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
ARL	1	D458680		2002-06-11	Engler	
↑	2	2296793		1942-09-22	Kirschbaum	
	3	2840070		1958-06-24	Tofflemire	
	4	4052980		1977-10-11	Grams et al.	
	5	4226228		1980-10-07	Shin et al.	
	6	4337763		1982-07-06	Petrassevich	
	7	4562832		1986-01-07	Wilder et al.	
ARL	8	4597030		1986-06-24	Brody et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10693815
Filing Date		2003-10-24
First Named Inventor	GENE P. DIPOTO	
Art Unit	3733	
Examiner Name	ANURADHA RAMANA	
Attorney Docket Number	1291.1143101	

ARL	9	4784150		1988-11-15	Voorhies et al.	
↑	10	4863133		1989-09-05	Bonnell	
	11	4945896		1990-08-07	Gade	
	12	5030220		1991-07-09	Howland	
	13	5035232		1991-07-30	Lutze et al.	
	14	5159921		1992-11-03	Hoover	
	15	5176708		1993-01-05	Frey et al.	
	16	5237985		1993-08-24	Hodgson et al.	
	17	5345927		1994-09-13	Bonutti	
	18	5353786		1994-10-11	Wilk	
ARL	19	5431153		1995-07-11	Lee	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10693815
Filing Date		2003-10-24
First Named Inventor	GENE P. DIPOTO	
Art Unit	3733	
Examiner Name	ANURADHA RAMANA	
Attorney Docket Number	1291.1143101	

AL	20	5451227		1995-09-19	Michaelson	
↑	21	5496318		1996-03-05	Howland et al.	
	22	5520611		1996-05-28	Rao et al.	
	23	5558622		1996-09-24	Greenberg	
	24	5569258		1996-10-29	Gambale	
	25	5584831		1996-12-17	McKay	
	26	5601590		1997-02-11	Bonutti et al.	
	27	5645559		1997-07-08	Hachtman et al.	
	28	5645599		1997-07-08	Samani	
	29	5653713		1997-08-05	Michelson	
AL	30	5690606		1997-11-25	Slotman	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10693815
Filing Date		2003-10-24
First Named Inventor	GENE P. DIPOTO	
Art Unit	3733	
Examiner Name	ANURADHA RAMANA	
Attorney Docket Number	1291.1143101	

AR	31	5725582		1998-03-10	Bevan et al.	
↑	32	5755660		1998-05-26	Tyagi	
	33	5836948		1998-11-17	Zucherman et al.	
	34	5928139		1999-07-27	Koros et al.	
	35	5928140		1999-07-27	Hardten	
	36	5967971		1999-10-19	Bolser	
	37	6042538		2000-03-28	Puskas	
	38	6048342		2000-04-11	Zucherman et al.	
	39	6053907		2000-04-25	Zirps	
	40	6080105		2000-06-27	Spears	
AR	41	6139493		2000-10-31	Koros et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10693815
Filing Date		2003-10-24
First Named Inventor	GENE P. DIPOTO	
Art Unit	3733	
Examiner Name	ANURADHA RAMANA	
Attorney Docket Number	1291.1143101	

AR	42	6142997		2000-11-07	Michelson	
↑	43	6193651		2001-02-27	DeFonzo	
	44	6193715		2001-02-27	Wrublewski et al.	
	45	6196968		2001-03-06	Rydin et al.	
	46	6210325		2001-04-03	Bartie et al.	
	47	6228024		2001-05-08	Co et al.	
	48	6235030		2001-05-22	Zucherman et al.	
	49	6263133		2001-07-17	Hamm	
AR	50	6322499		2001-11-27	Evans et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10693815
Filing Date		2003-10-24
First Named Inventor	GENE P. DIPOTO	
Art Unit	3733	
Examiner Name	ANURADHA RAMANA	
Attorney Docket Number	1291.1143101	

1					
---	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
AR	1	0669109	EP		1995-08-30	Sulzer Medizinaltechnik AG; Protek AG	Abstract	<input type="checkbox"/>
	2	9519149	WO		1995-07-20	Safir S.A.R.L.; Breard, Francis Henri		<input type="checkbox"/>
	3	2004004549	WO		2004-01-15	Aferzon, Joseph		<input type="checkbox"/>
	4	2004024011	WO		2004-03-25	Spinevision		<input type="checkbox"/>
	5	2005032358	WO		2005-04-14	Endius, Inc.		<input type="checkbox"/>
AR	6	2007060534	WO		2007-05-31	Calvosa Giuseppe; Tenucci Miria		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button.

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
--------------------	---------	---	----------------

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10693815
Filing Date	2003-10-24
First Named Inventor	GENE P. DIPOTO
Art Unit	3733
Examiner Name	ANURADHA RAMANA
Attorney Docket Number	1291.1143101

AR	1	"Orthopedic Spine Surgery: Cervical Spine," Regional Orthopedic Center, Poudre Valley Hospital, Fort Collins, Colorado, 18 pages, December 2002.	<input type="checkbox"/>
AR	2	Davis et al., "Dynesys LIS Less Invasive Surgery, The Dynamic Stabilization System," Zimmer Spine Inc., 24 pages, 2005.	<input type="checkbox"/>
AR	3	Humke et al., "Translaminar Screw Fixation of the Lumbar and Lumbosacral Spine," Spine, 23(10): 1180-1184, May 15, 1998.	<input type="checkbox"/>
AR	4	Lu et al., "Translaminar Facet Screw Placement: an Anatomic Study," The American Journal of Orthopedics, XXVII(6): 550-555, August 1998.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	<i>Anuradha Ramana</i>	Date Considered	1/7/08
--------------------	------------------------	-----------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.